



EVS35  
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# A Data-Driven Approach for Online EV Charging Management Considering Travel Pattern Heterogeneity

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# Presenter introduction



北京科技大学 机械工程学院  
School of Mechanical Engineering

## Research Interests

- Transportation carbon neutrality
- Big data analysis of electric vehicle travel pattern
- Electric vehicle market and policy analysis

### ■ University of Science and Technology Beijing, School of Mechanical Engineering

Assistant professor. 02/2021 - present

### ■ Tsinghua University, School of Vehicle and Mobility

Ph.D. 08/2015-10/2020

### ■ Oak Ridge National Laboratory (U.S.) Transportation Energy Evolution Modeling

Joint Ph.D. student. 10/2018-10/2019

### ■ Participated in U.S.-China Clean Energy Research Center Project during Ph.D research,

### ■ Published over 9 peer-reviewed papers in related areas.



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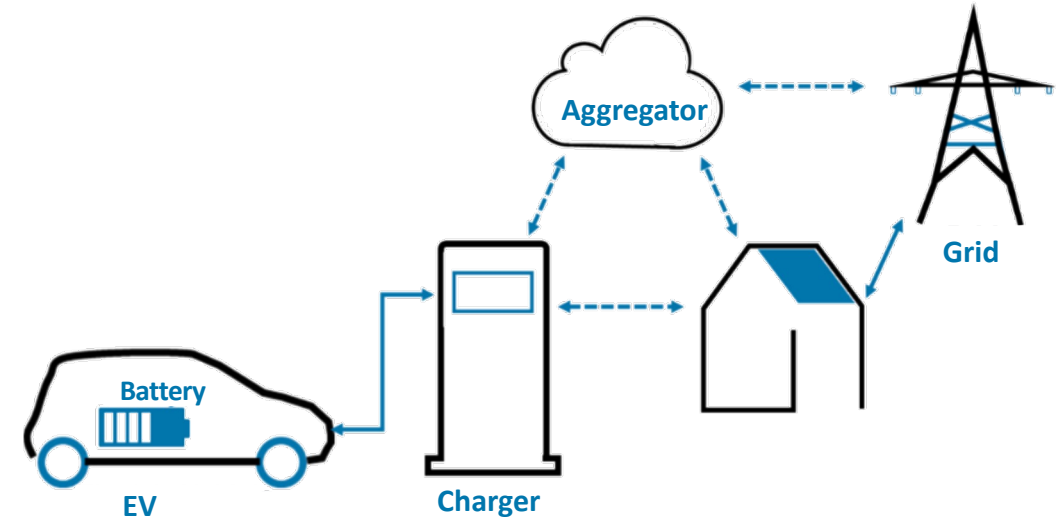
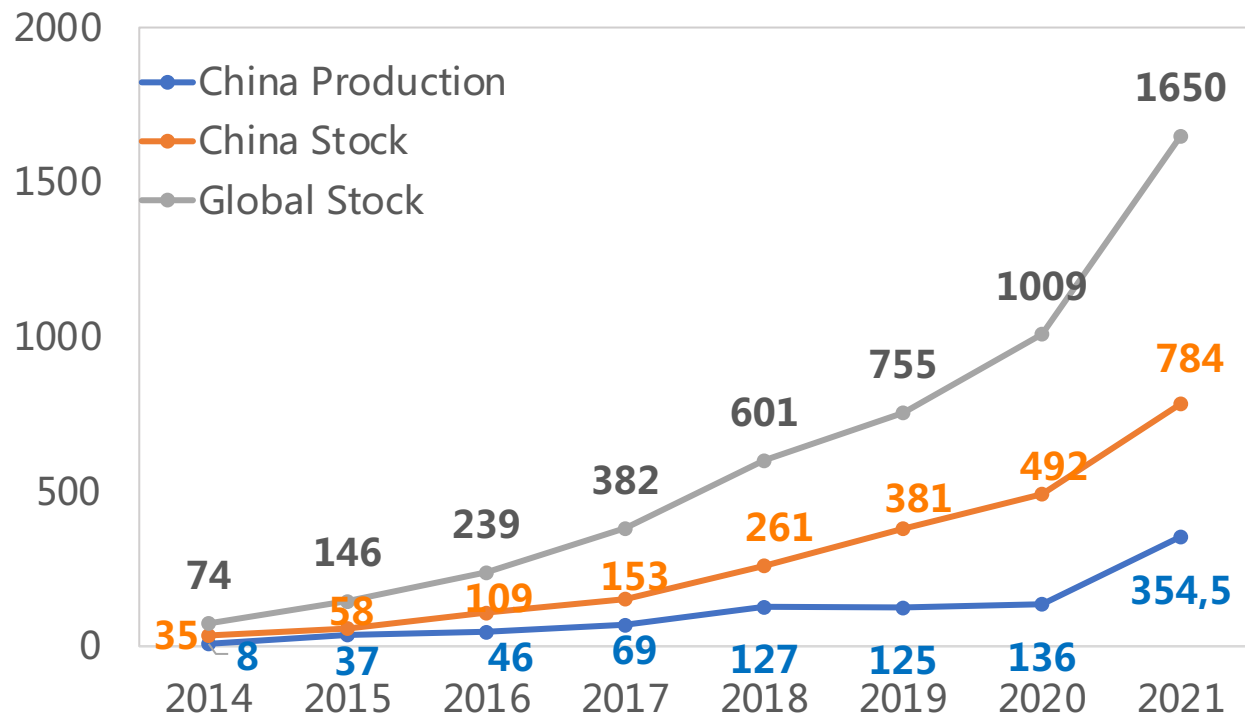
- 1. Introduction**
- 2. Problem Setup and Methodology**
- 3. Results and Discussion**
- 4. Conclusion and Future Research**

# 1. Introduction: Background



- Massive deployment of electric vehicles (EVs) combined with the integration of clean renewable sources has been regarded as an effective way to reduce carbon emission.
- V2G** is considered as a solution to overcome the EV overburdening the power system.

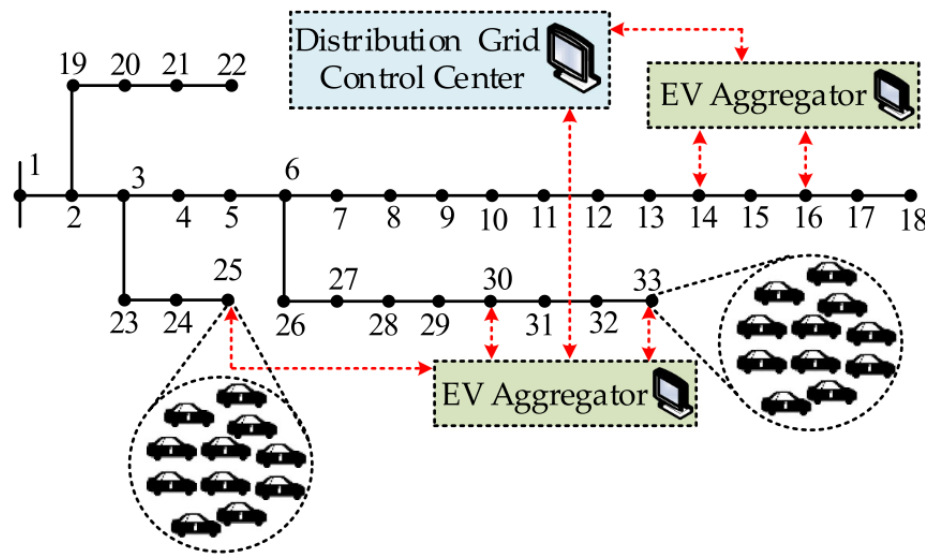
NEV production & stock ( x10,000 )



# 1. Introduction: Existed literature

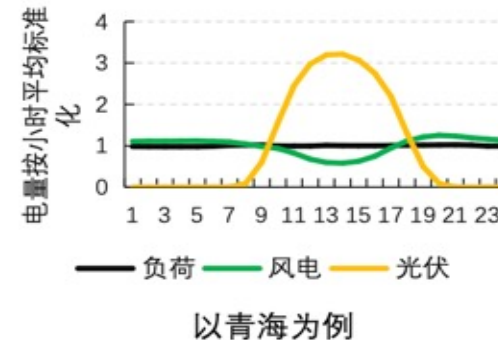


- **Offline models:** Assume complete information of the future.
- **Online models:** Rely on an optimization model to generate the control strategy.

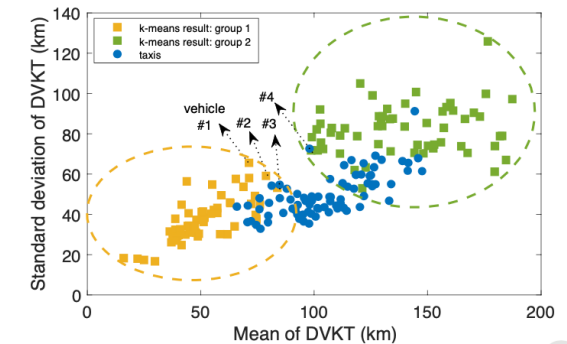


(Offline modelling example)

## Unpredictable renewable generations



## Unpredictable travel pattern

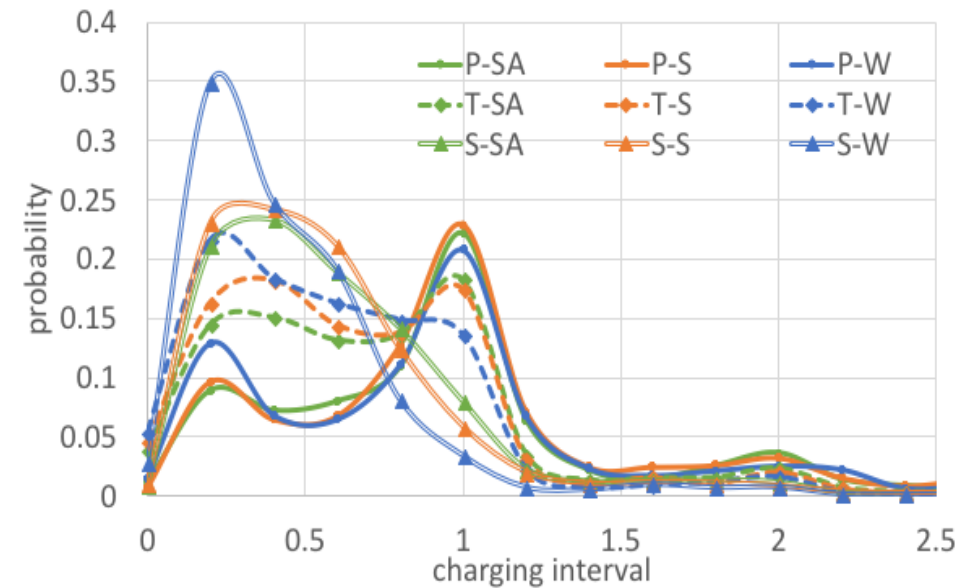
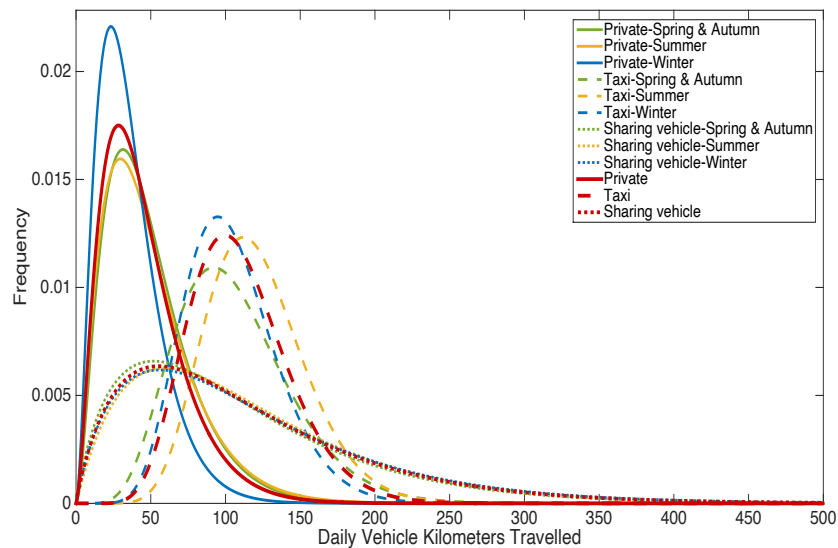


# 1. Introduction: Existed literature



## EV real-world travel pattern heterogeneity

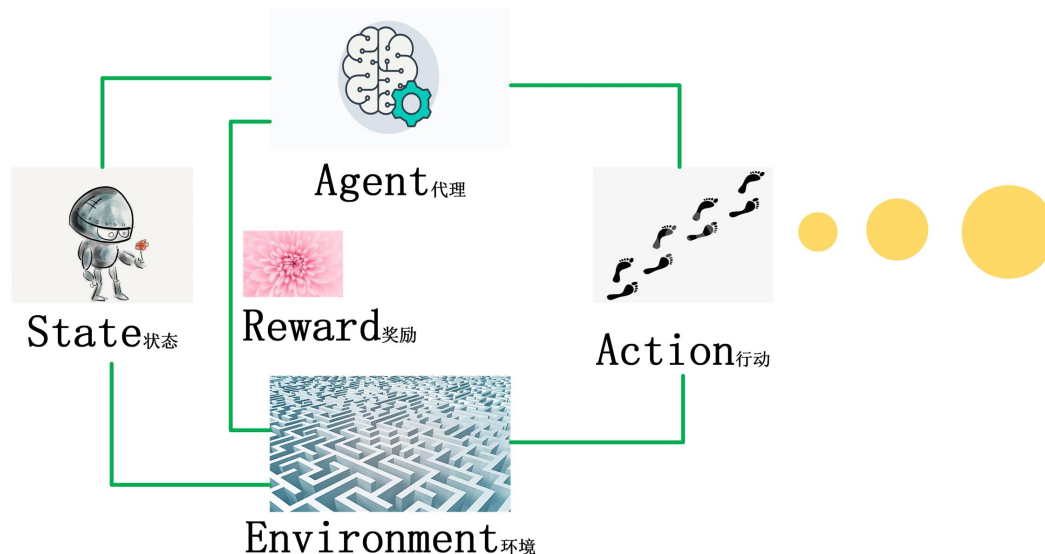
- Travel distance distribution
- Charging time and charging preference difference



# 1. Introduction: Existed literature



- **Model free online charging management**
  - To overcome this difficulty, reinforcement learning (RL), which is recently widely applied in automated vehicles.



**However, the existing RL-based charging management works rarely take into account real-world travel pattern heterogeneity, especially that in China, the largest EV market.**

This paper proposes a data-driven method for EV charging management based on deep Q-Network RL.

## 2. Problem Setup and Methodology



### Problem Formulation

- The EV charging management is modeled as a **finite Markov Decision Process (MDP)** with discrete time step  $t = \{1, 2, \dots, T\}$ .
- **Aim:**
- (1) To determine cost-efficient charging schedules with limited past electricity prices and vehicle energy information.
- (2) To meet travel demands.

#### State:

- (1) Home or not
- (2) The state of charge (SOC)
- (3) The electricity prices for the former  $N$  hours





## 2. Problem Setup and Methodology: Methodology



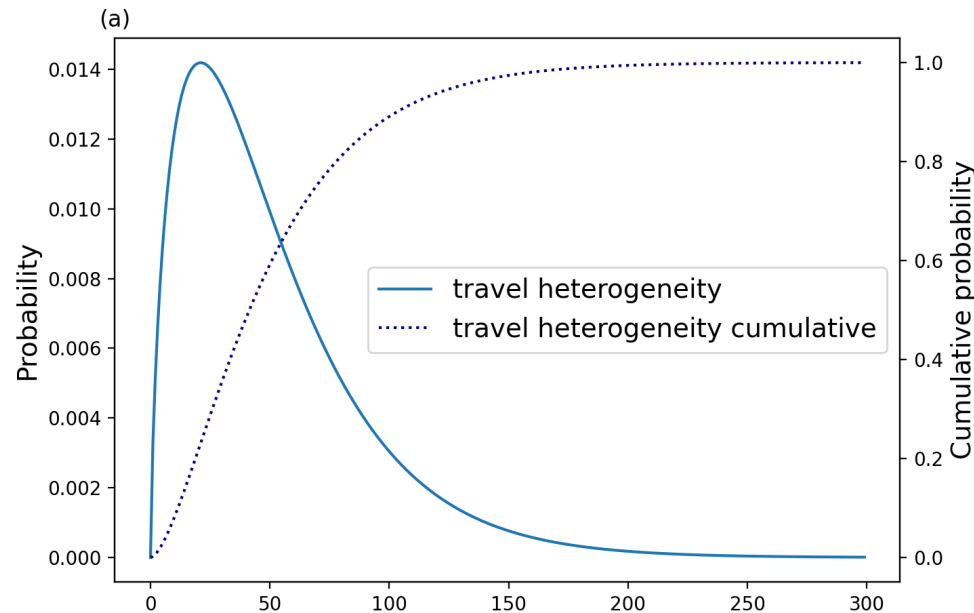
State :

Travel Pattern

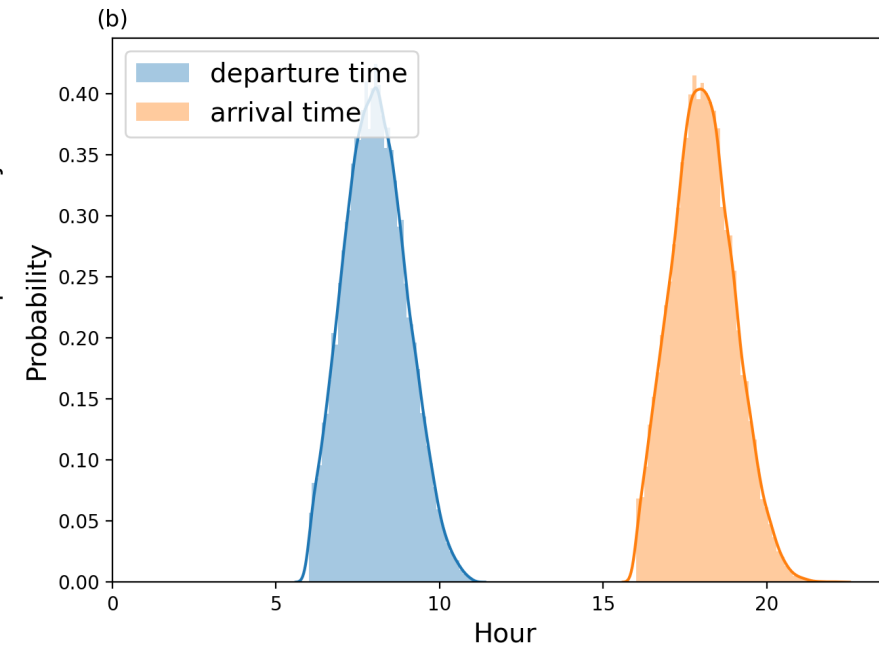
**Data Source:** National Monitoring and Management Centre for New Energy Vehicles (NMMC-NEV).

**Daily vehicle kilometers travelled (DVKT) distribution:** Gamma distribution

**Distribution:** Shanghai, 2018



$$y = f(x|\alpha, \beta) = \beta^{-\alpha} x^{\alpha-1} e^{-x/\beta} / \Gamma(\alpha)$$



$N(8, 1^2)$   $N(18, 1^2)$   
bounded Normal distribution

## 2. Problem Setup and Methodology: Methodology



**State :**

**Electricity price :** hourly Experiment Uniform Shanghai Energy Price (EUSEP)

Derived from the Uniform Singapore Energy Price downloaded from the Energy Market Company

**Time span:** 1/1/2021 – 12/31/2021

**Battery capacity:**  $E_{max} = 49$  kWh

**Charging actions :**

7kW(Charging) , -7kW (Discharging), 0



Period	Average EUSEP (CNY/kWh)
Jan-21	0.248
Feb-21	0.296
Mar-21	0.329
Apr-21	0.312
May-21	0.320
Jun-21	0.321
Jul-21	0.532
Aug-21	0.435
Sep-21	0.496
Oct-21	1.565
Nov-21	1.099
Dec-21	1.514

## 2. Problem Setup and Methodology: Methodology



**Reward:**

$$\hat{a}_t = \begin{cases} \min\{a_t, E_{max} - E_t\}, & \text{if } a_t \geq 0 \\ -\min\{-a_t, E_t\}, & \text{if } a_t < 0 \end{cases} \longrightarrow \text{To ensure the battery capacity range.}$$

$$r_t = \begin{cases} -P_t \cdot \hat{a}_t \cdot h_t, & t \neq t_\eta \\ -P_t \cdot \hat{a}_t \cdot h_t - \tau \cdot (E_{max} - E_t)^2, & t = t_\eta \end{cases}$$

A penalty if the EV departs when it is not fully charged.  
 $\tau$  – a comprehensive penalty factor.

**Optimization of Action-Value Function**

$$Q^*(s, a) = \max_{\pi} \mathbb{E}_{\pi} \left[ \sum_{t'=t}^T \gamma^{t'-t} r_{t'} \mid s_t = s, a_t = a, \pi \right]$$

Bellman equation

$$Q_{i+1}(s, a; \theta) = \mathbb{E} \left[ r_t + \gamma \max_{a_{t+1}} Q_i(s_{t+1}, a_{t+1}, \theta) \mid s_t = s, a_t = a \right]$$

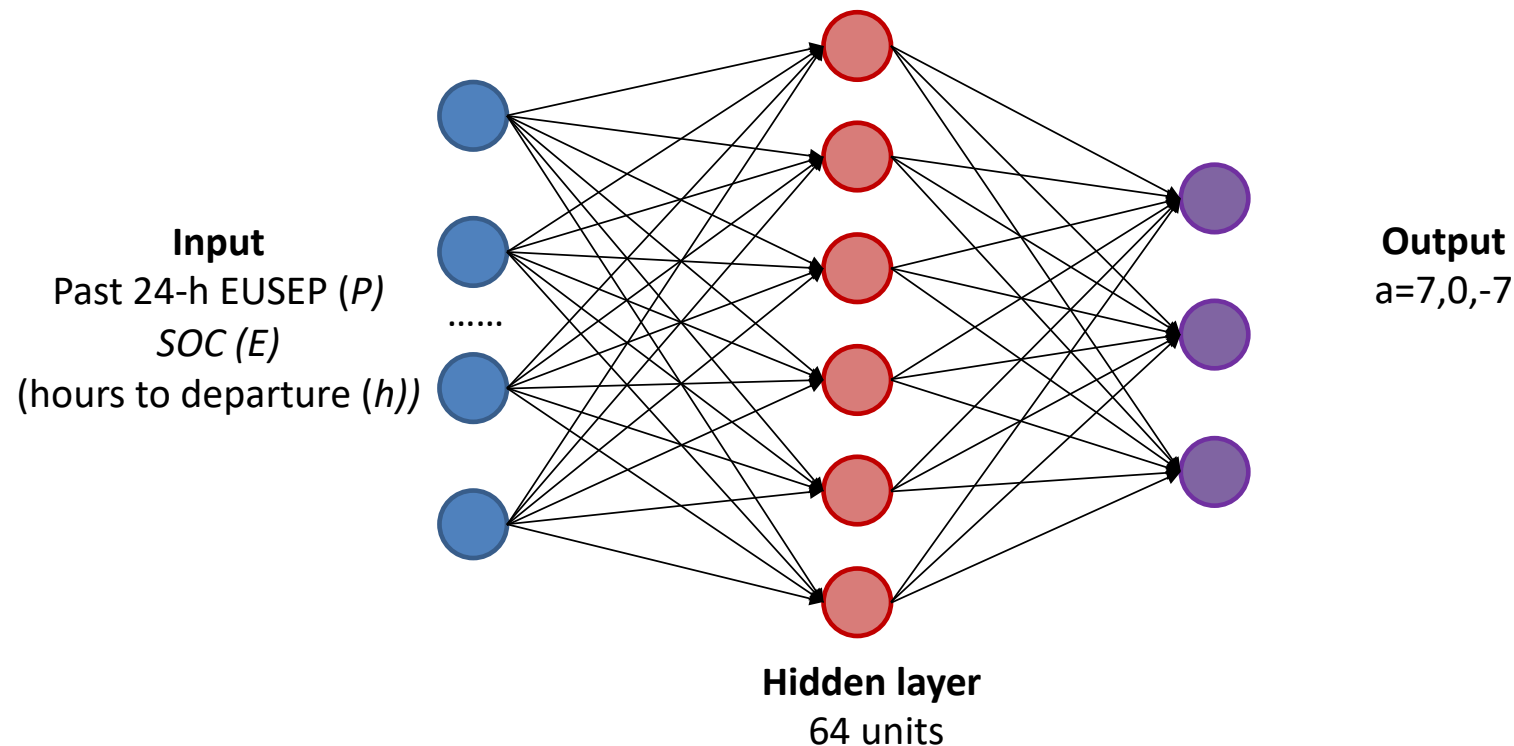
$Q_i(s, a; \theta)$  will converge to  $Q(s, a; \theta) \approx Q^*(s, a)$  finally.

## 2. Problem Setup and Methodology: Methodology



### 3-layer neural network

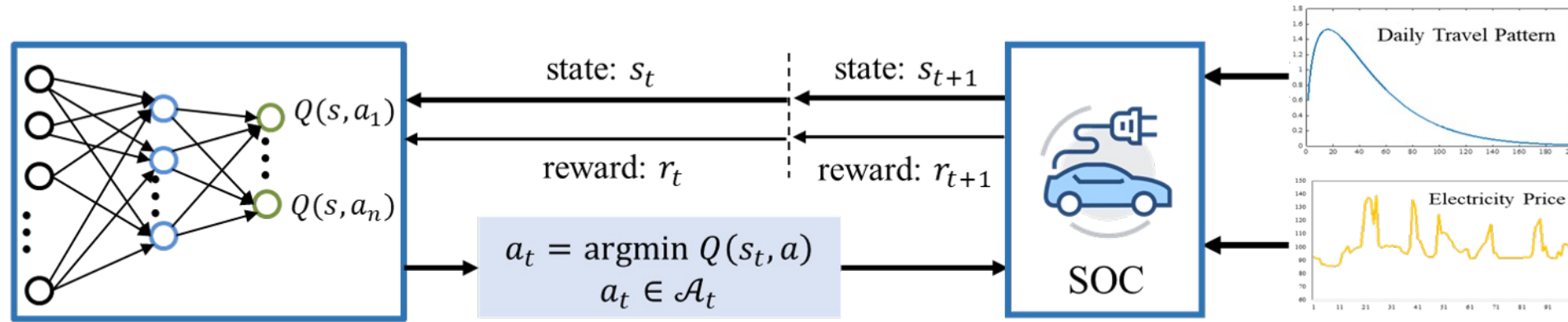
The input of the fully-connected neural network is the past 24-h electricity prices, the EV battery SOC calculated from the charging power and the daily vehicle kilometers travelled.



## 2. Problem Setup and Methodology: Methodology



### Deep Q-network Method



Fully-Connected Neural Network

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#### Algorithm 1 EV Charging/Discharging Managing

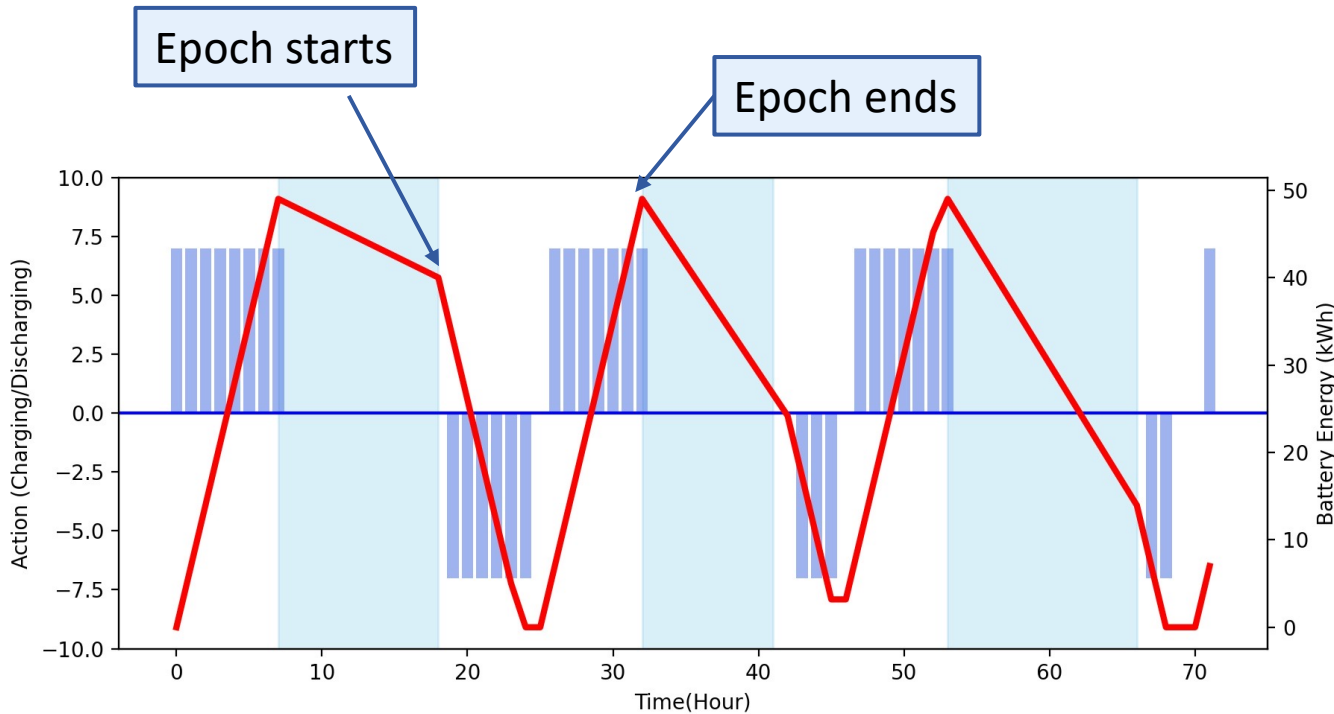
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**Input:** Past 24-hour electricity prices and initial battery SOC.

**Output:** EV charging/discharging actions  $a_{t_1:t_\eta}$ .

- 1: **for**  $t = t_1$  to  $t_\eta$  **do**
  - 2:   Receive the electricity prices and initial battery SOC.
  - 3:   Calculate action-value  $Q(s_t, a; \theta)$  from the neural network.
  - 4:    $a_t \leftarrow \operatorname{argmax}_a Q(s_t, a; \theta)$ .
  - 5:    $s_{t+1} = f(s_t, a_t)$ .
  - 6: **end for**
-

## 2. Problem Setup and Methodology: Training process



### Assumptions:

- Only charging at home
- Connect to the grid once at home

To guarantee the balance between exploration and exploitation,  **$\epsilon$ -greedy policy** is adopted.

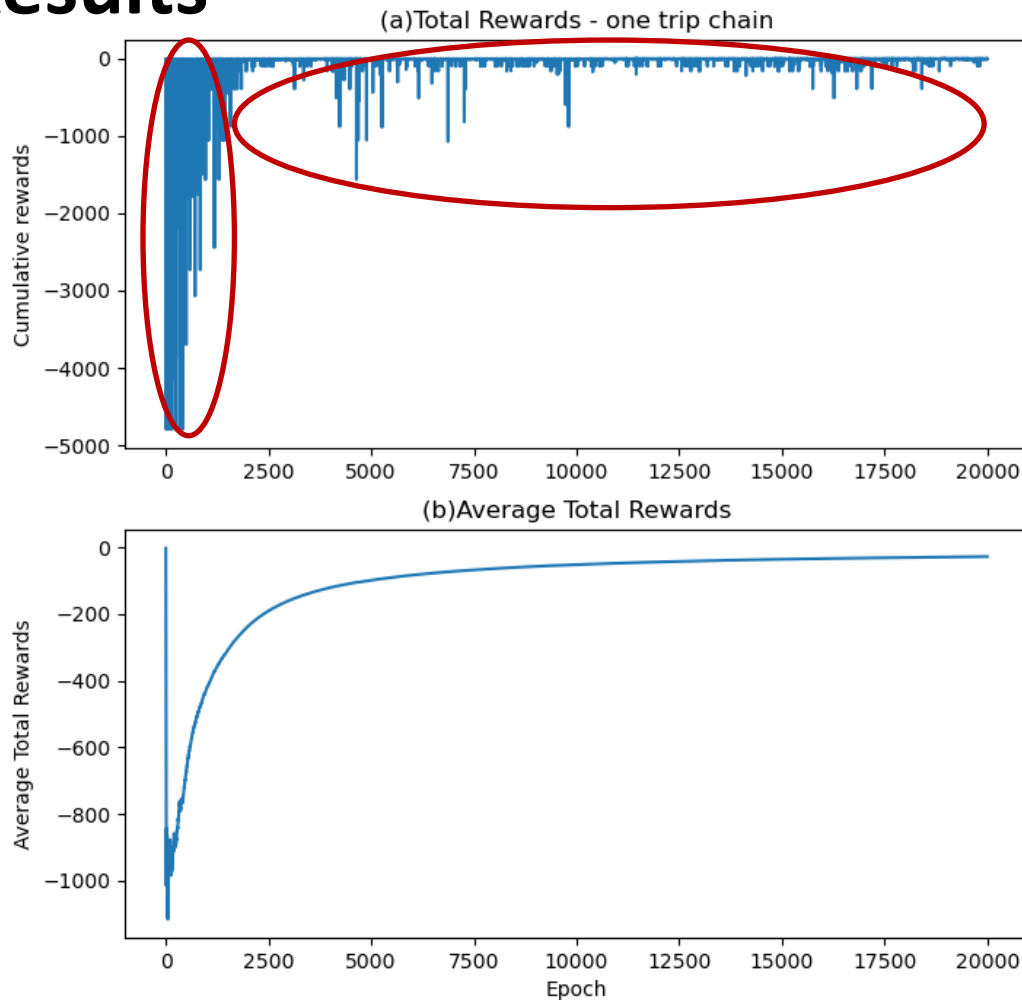
### Loss function:

$$L(\theta) = \mathbb{E}[(r + \gamma \max_{a'} Q(s', a'; \theta) - Q(s, a; \theta))^2]$$

# 3. Results and Discussion



## Results

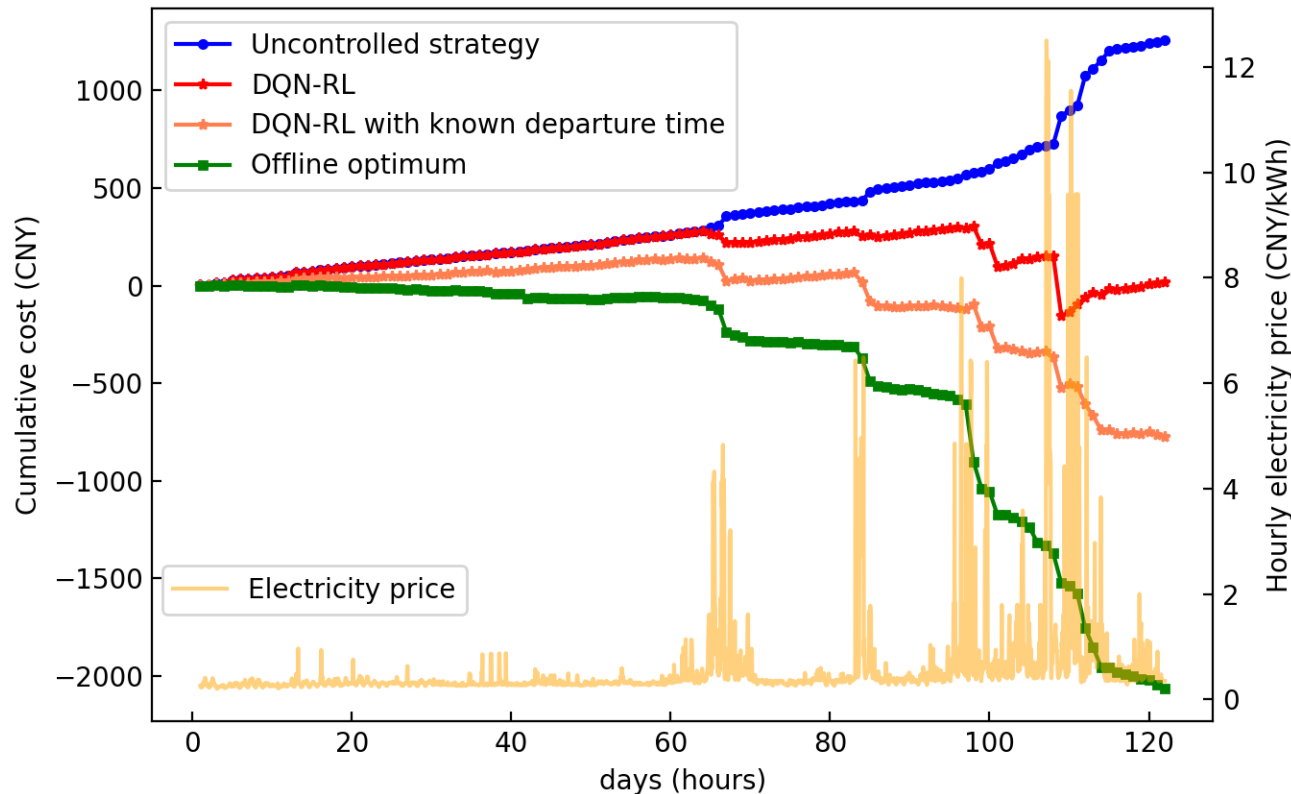


- Training: 20000 epochs
- It shows that a good policy with a high cumulative reward can be learned by the proposed method.
- the charging/discharging actions are randomly chosen in the first 2,000 epochs
- and then the total rewards increase quickly and reach a relatively stable value with fluctuations.

# 3. Results and Discussion



## Test



- Compared with the uncontrolled strategy, the proposed DQN-RL method can greatly reduce the cost.
- The offline optimum can give the lowest cumulative cost and serve as a benchmark. However, the offline optimum is not practical due to the limited availability to the future information.

### References:

**Offline optimum:** The offline optimum can give the lowest cumulative cost and serve as a benchmark. (NOT practical)

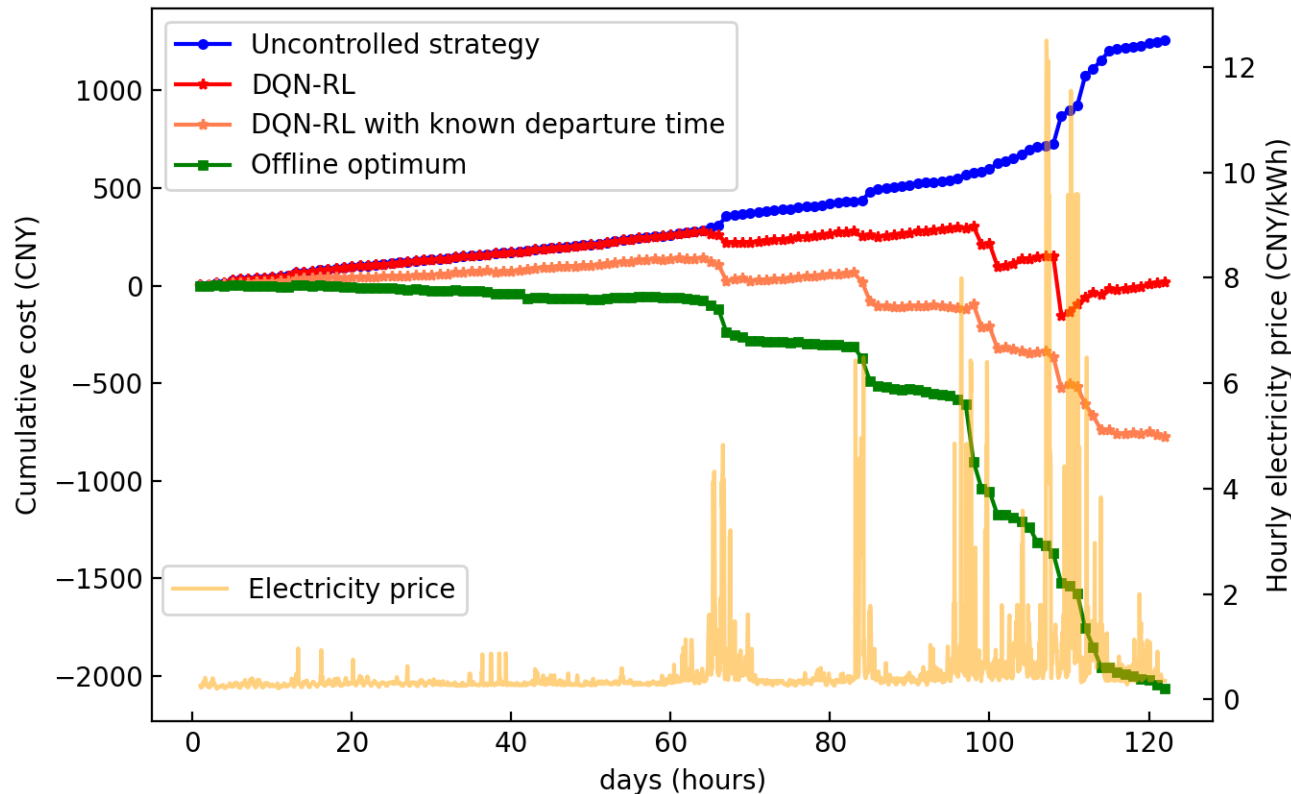
**Uncontrolled strategy:** the EV is charged at the maximum charging rate until reaching 49 kWh.



# 3. Results and Discussion



## Test



- **The improved DQN-RL:** the EV owners have a pre-decided departure time and the countdown hours is added to the expended state.
- The improved DQN-RL has much lower cost than the original DQN-RL.
- **Value of information (VOI) -**  
Value of pre-decided departure time

### References:

**Offline optimum:** The offline optimum can give the lowest cumulative cost and serve as a benchmark. (NOT practical)

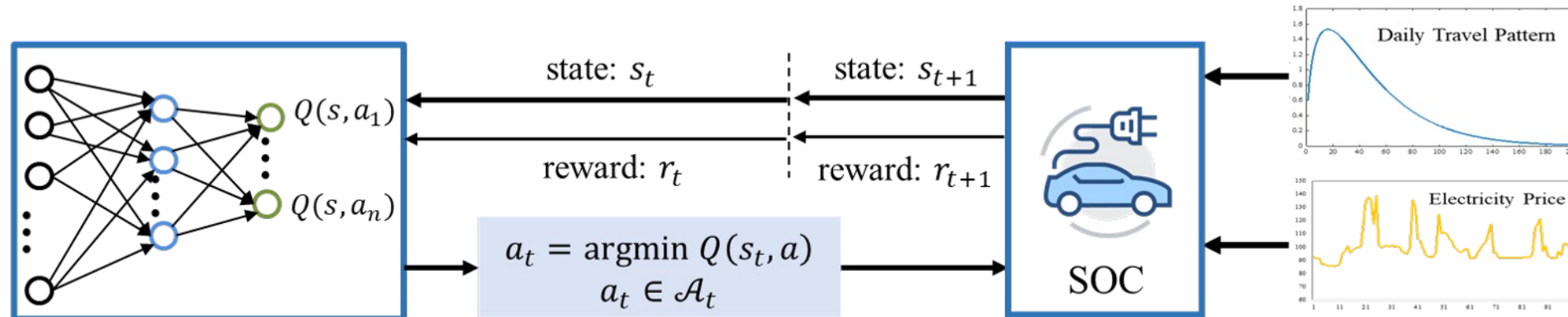
**Uncontrolled strategy:** the EV is charged at the maximum charging rate until reaching 49 kWh.

# 4. Conclusion and Future Research



## Conclusion:

- In this paper, a Deep Q-Network based reinforcement learning method is proposed to solve the EV charging/discharging management problem in an online manner. The actual travel pattern heterogeneity in a typical city, Shanghai, is taken as an empirical example. The DQN-RL method is further improved by adding the known departure time to the states. The results reveal a significant advantage of the improved DQN-RL method over the uncontrolled charging method.



Fully-Connected Neural Network

# 4. Conclusion and Future Research



## Limitations

- We have to admit that this paper still has a few limitations, such as the lack of actual electricity prices in China. The actual real-time electricity prices in China will be very helpful for us to accurately evaluate the economical effects of V2G in China.
- The extra battery degradation is not taken into consideration in this paper, but in practice, high battery-swapping costs for the EV owners can occur if the V2G decreases the battery pack life.

## Future research

- the action space can be changed from discrete space to continuous action space to reflect the variation of charging and discharging power.
- Moreover, this approach is the foundation to precisely evaluate the environmental and economical benefits of V2G.



**Thank you for your attention !**  
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